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MAR 24 2008

Practitioner's Docket No. 02165PCT

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Masakazu KOBAYASHI;  
Nippon Shokubai Co., Ltd.  
Filed: September 9, 2005  
For: Compound Semiconductor Particles and Production Process  
Therefor  
Examiner: Sarkar, Asok K.  
Art Unit: 2891  
Confirmation No.: 9572


Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate of Facsimile Transmission**

I hereby certify that the following documents are being facsimile transmitted to the United States Patent and Trademark Office on March 24, 2008 to 1-571-273-8300 totaling 109 pages by applicant's attorney, Robert J. Jacobson:

1. This Certificate of Facsimile Transmission dated March 24, 2008 (one page);
2. Authorization To Charge To Deposit Account For Submission of an Information Disclosure Statement dated March 24, 2008 (one page);
3. Information Disclosure Statement dated March 24, 2008 (two pages);
4. PTO-1449 form (one sheet); and
5. ADAIR, J.H. et al., Recent developments in the preparation and properties of nanometer-size spherical and platelet-shaped particles and composite particles, Materials Science and Engineering, R23 (1998), pages 139-242, Elsevier Science S.A., Amsterdam, The Netherlands (104 pages).

Respectfully submitted,

Date: 3-24-2008  
Robert J. Jacobson  
Reg. No. 32,419

Tel. No.: (651) 699-7900  
Fax. No.: (651) 699-7901

650 Brimhall Street South  
St. Paul, MN 55116-1511

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Practitioner's Docket No. 02165PCT

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Masakazu KOBAYASHI;  
Nippon Shokubai Co., Ltd.  
Serial No.: 10/525,848  
Filed: February 22, 2005  
For: Compound Semiconductor Particles and Production Process  
Therefor  
Examiner: Sarkar, Asok K.  
Art Unit: 2891  
Confirmation No.: 9572

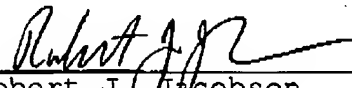
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Authorization To Charge To Deposit Account  
For Submission of an Information Disclosure Statement**

The Director is hereby authorized to charge the fee of  
\$180.00 required under 37 CFR 1.17(p) (Fee Code 1806) to Deposit  
Account Number 50-3288 of the undersigned for the Submission of  
the attached Information Disclosure Statement.

Respectfully submitted,

Date: 3-24-2008

  
Robert J. Jacobson  
Reg. No. 32,419

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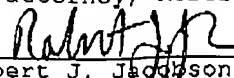
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03/24/2008 VBUI11 00000062 503288 10525848

01 FC:1806 180.00 DA

I hereby certify that this correspondence and the documents referred to as  
enclosed therein are being facsimile transmitted to the Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 24, 2008  
to 1-571-273-8300 by applicant's attorney, Robert J. Jacobson.

  
Robert J. Jacobson

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In re application of: Masakazu KOBAYASHI;  
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Art Unit: 2891  
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**Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Information Disclosure Statement

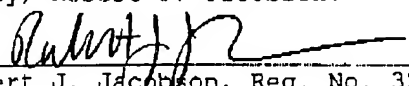
Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits the reference(s) listed on the attached Form(s) 1449 (or equivalent) such that said reference(s) may be considered and made of record. A copy of each of the cited reference(s) is enclosed. No representation is made that any of the listed reference(s) is prior art pursuant to 35 U.S.C. § 102 and/or 35 U.S.C. § 103.

This Information Disclosure Statement is being filed in accordance with the following provision(s):

37 CFR 1.97(c) An information disclosure statement shall be considered by the Office if filed by the applicant after

(18924.DOC)

I hereby certify that this correspondence and the documents referred to as enclosed therein are being facsimile transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 24, 2008 to 1-571-273-8300 by applicant's attorney, Robert J. Jacobson.

  
Robert J. Jacobson, Reg. No. 32,419

(Information Disclosure Statement--page 1 of 2)

the period specified in paragraph (b) of this section, provided that the information disclosure statement is filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by one of: (1) The statement specified in paragraph (e) of this section; or (2) The fee set forth in § 1.17(p).

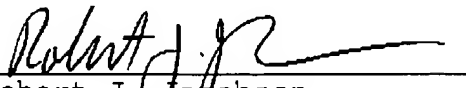
This information disclosure statement is accompanied by the fee (\$180) set forth in § 1.17(p), namely, the attached Authorization To Charge To Deposit Account For Submission of an Information Disclosure Statement.

As indicated on the PTO-1449 form, the only reference submitted with this Information Disclosure Statement is the attached following article:

- ADAIR, J.H. et al., Recent developments in the preparation and properties of nanometer-size spherical and platelet-shaped particles and composite particles, Materials Science and Engineering, R23 (1998), pages 139-242, Elsevier Science S.A., Amsterdam, The Netherlands (104 pages).

Respectfully submitted,

Date: 3-24-08

  
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(Information Disclosure Statement—page 2 of 2)